10/591529 IAP9 Rec'd PCT/PTO 01 SEP 2006

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

International Application No. : PCT/JP05/02602

International Filing Date : February 18, 2005

Priority Date(s) Claimed : March 5, 2004

Applicant(s) (DO/EO/US) : Kazuyoshi INOUE et al

Title: SEMI-TRANSMISSIVE/SEMI-REFLECTIVE ELECTRODE SUBSTRATE,

METHOD FOR MANUFACTURING SAME, AND LIQUID CRYSTAL DISPLAY

USING SUCH SEMI-TRANSMISSIVE/SEMI-REFLECTIVE ELECTRODE

SUBSTRATE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

Timing and Fees

1 1111111	g and re	<u> </u>				
\boxtimes	Under 37 C.F.R. § 1.97(b), no fee or statement is required for filing this information disclosure statement is filed:					
	\boxtimes	within three months of the filing date of a national application other than a CPA under § 1.53(d);				
		within three months of the actual filing date of the national phase of a PCT application; OR				
		before the mailing of a first substantive office action (including after filing of an RCE).				
		37 C.F.R. § 1.97(c), this information disclosure statement is filed after the periods ted in 37 C.F.R. § 1.97(b), but before the mailing date of:				
		a final rejection under 37 C.F.R. 1.113; termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR a notice of allowance under 37 C.F.R. § 1.311; and				

1

ITO-0002

		is acco	ompanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR
			a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).
			R. § 1.97(d), this information disclosure statement is filed after the mailing lowing actions which have not been withdrawn:
		a final	action under 37 C.F.R. § 1.113;
		termin	nation of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2);
		OR a ı	notice of allowance under 37 C.F.R. § 1.311;
	AND	is filed	on or before payment of the issue fee; AND is accompanied by:
			tement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of 00 under 37 C.F.R. § 1.17(p).
Staten	nents U	nder 37	C.F.R. 1.97(e)
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.
Cited	Materia	ıls	
		ancest	s of materials listed but not attached were cited in benefit (35 U.S.C. § 120) for application Serial No, on Form 892 by the Examiner and/or Form by the applicant; see 37 C.F.R. § 1.98(d).
		_	s of materials listed but not attached were cited in an international search dated May 12, 2005.
	\boxtimes	Not re	equired by 37 CFR § 1.98.
	\boxtimes	Copie	s of the materials listed are attached (except for the foregoing).
			2 ITO-0002

Non-Englis	h Language References
\boxtimes	An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).
	A foreign-language search report from a foreign patent office is provided, and pertinent parts are translated substantively below:
	 X = document of particular relevance when it is taken alone Y = document of particular relevance when it is combined with another such document
	A = document defining the general state of the art
	O = non-written disclosure P = intercalated document
	T = document cited to understand the theory or principle underlying the invention
	E = patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date
	D = cited in the application
	L = cited for another reason & = publication of member of same patent family
	Translation of other relevant information on foreign search report
Other Infor	<u>mation</u>
Payment of	Fees Due (If Any):
☐ A c	heck for \$ covering the fee identified above is attached.
Ple	ase charge to Deposit Account No. 13-3402 \$ for the fee identified above.

1 0/591529 IAP9 Rec'd PCT/PTO 01 SEP 2006

The Commissioner is hereby authorized to charge or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

Anthony J. Zelano, Reg. No. 27,969 Attorney/Agent for Applicants

MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
Arlington Courthouse Plaza l
2200 Clarendon Blvd. Suite 1400
Arlington, Virginia 22201
Telephone: (703) 243-6333
Facsimile: (703) 243-6410

Attorney Docket No.: ITO-0002

Date: September 1, 2006

AJZ:tcb

K:\ITO\2\Information Disclosure Statement.doc

of

2

Sheet

IAP9 Rec'd PCT/PY 01 SEP 20051 PTO/SB/08A (08-00)

ITO-0002

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number

Substitute for form 1449A/PTO

Complete if Known

Application Number

unknown

Fiting Date

September 1, 2006

First Named Inventor

Group Art Unit

unknown

(use as many sheets as necessary)

Examiner Name

unknown

			U.S. P	ATENT DOCUMENTS	
Examiner Initials *	Cite No.1	U.S. Patent Docum	ent Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	A1	6919944		Tsuyoshi MAEDA	7-19-2005
	A2	6879361		Kook-Chul MOON	04-12-2005
	А3	2004-0109111	A1	Masumitsu INO	06-10-2004
		·			
			·		

	Cite No.1		Foreign Patent Docum	ent		Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*		Office ³	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T⁵
	B1	JP	2001-272674	Α	Koichi FUJIMORI	10-05-2001	Claims, Fig 1	A1, A
	B2	wo	03/054621	A1	Masumitsu INO	07-03-2003	Claims, Fig 2	А3
	В3	JP	2003-084300	Α	Kiyohiro KAWASAKI	03-19-2003	Claims	
	B4	JP	2003-029257	Α	Kiyohiro KAWASAKI	01-29-2003	Claims	
	B5	JР	2003-107453	Α	Ichiro MURAI	04-09-2003	Claims	
	В6	JP	2003-029298	Α	Ide KATSUYA	01-29-2003	Claims	

Examiner	/Sung Pak/	Date	00/10/000
Signature	750 ng rak	Considered	08/16/2008

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. Number refers to English language corresponding family member.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a	plus sign (+	inside this	_{box} →	+

PTO/SB/08A	(08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute f	or form 1449A/P	то			Complete if Known	1
INITO	DRA A TION	I DICC	LOCUDE	Application Number	unknown	
			LOSURE	Filing Date	September 1, 2006	
STAT	EMENT	BY API	PLICANT	First Named Inventor	Kazuyoshi INOUE	
				Group Art Unit	unknown	
(use as many si	heets as ne	ecessary)	Examiner Name	unknown	
Sheet	2	of	2	Attorney Docket Number	ITO-0002	ノ

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	_ T ²			
		,				
		·				
-						

Examiner Signature	/Sung Pak/	Date Considered	08/16/2008
-----------------------	------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.